

Resonance instability of gas-dust mixture associated with dust settling in protoplanetary disc

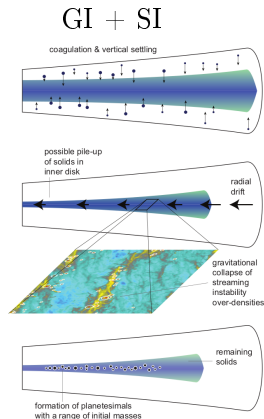
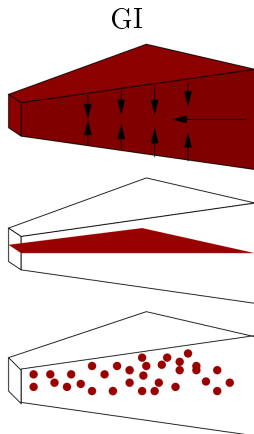
Viacheslav Zhuravlev

SAI MSU Moscow Russia

Dynamics and chemistry of protoplanetary discs (online), 4 March 2021

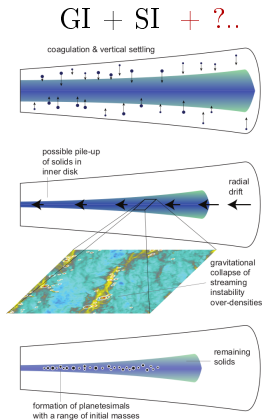
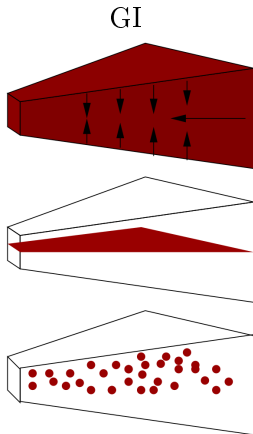
Planetesimal formation

grains - aggregates - pebbles - boulders - planetesimals - embryos - planets
 μm - sub-mm - mm - cm - m - km - 10^2 km - 10^3 km - 10^4 km



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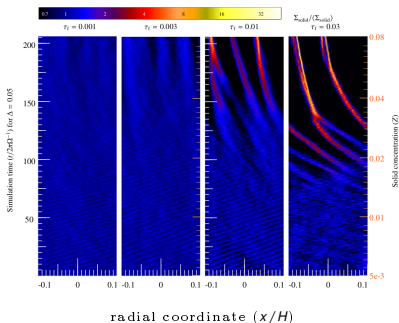


Armitage (2017)

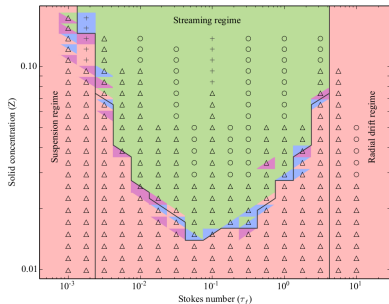
Carrera, Johansen & Davies (2015)

Simulations of the dust clumping due to SI
in the local **laminar** MMSN model

Spacetime diagram



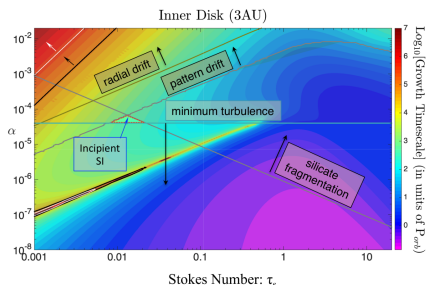
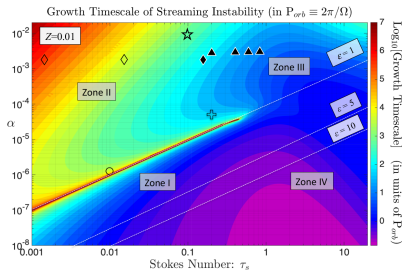
Map of the results



Clumping of mm-size particles barely happens
even for $Z \gtrsim 0.1$

Umurhan, Estrada & Cuzzi (2020)

Theoretical study on the relevance of SI analysing its linear growth in the local **turbulent** MMSN model with $\delta = 0.05$ and $Z = 0.01$
(non-stratified, settling balanced with turbulent diffusion)



Puts serious restrictions on the operation of SI

Squire & Hopkins (2018): the Streaming Instability

Small shearing box
approximation: $L \ll h$.

Stationary solution
for $f \equiv \rho_p / \rho_g \ll 1$:

$$\mathbf{U}_g = 0, \quad \mathbf{U}_p = -t_s g_x \mathbf{e}_x,$$

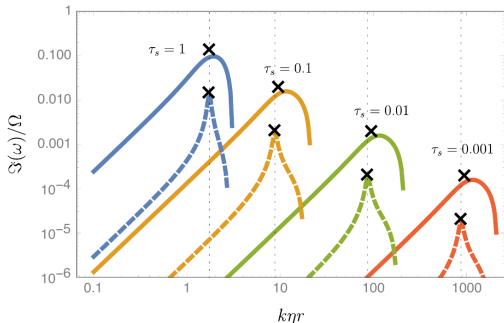
$$f = \text{const}$$

Linear axisymmetric
Eulerian perturbations:

$$\rho', \rho'_p, \mathbf{u}_g, \mathbf{u}_p \propto e^{-i\omega t + ik_x x + ik_z z}$$

At $\mathbf{U}_p \cdot \mathbf{k} = \pm \frac{k_z}{k} \Omega_0$:

$$\Im[\omega] / \Omega_0 \propto f^{1/2} \tau.$$



$$\tau \equiv t_s \Omega_0, \quad f = 0.1\% \text{ and } 10\%, \quad k^2 = k_x^2 + k_z^2$$

Squire & Hopkins (2018): the Dust Settling Instability (DSI)

Small shearing box
approximation: $L \ll h$.

Stationary solution
for $f \equiv \rho_p/\rho_g \ll 1$:

$$\mathbf{U}_g = 0, \quad \mathbf{U}_p = -t_s g_x \mathbf{e}_x - t_s g_z \mathbf{e}_z,$$

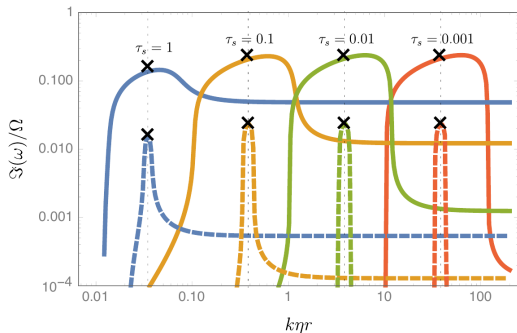
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Simplifying assumptions and general equations

V. Zhuravlev (2019) doi:10.1093/mnras/stz2390

i) Small shearing box approximation $L \ll h$: $r \rightarrow x$, $\varphi \rightarrow y$, z .

ii) Axisymmetric solutions only.

iii) \mathbf{U}_g and \mathbf{U}_p are measured in the rotating frame with respect to $\mathbf{U}_{sh} \equiv -q\Omega_0 x \mathbf{e}_y$.

$$\mathbf{U} \equiv \frac{\rho_g \mathbf{U}_g + \rho_p \mathbf{U}_p}{\rho}, \quad \mathbf{V} = \mathbf{U}_p - \mathbf{U}_g, \quad \rho \equiv \rho_g + \rho_p$$

$$\tau \equiv t_s \Omega_0 \ll 1$$

$$h \gg l_{\text{pert}} \gg l_s \equiv gt_s^2$$

Terminal velocity approximation

$$\partial_t \mathbf{U} - 2\Omega_0 U_y \mathbf{e}_x + (2 - q)\Omega_0 U_x \mathbf{e}_y + (\mathbf{U} \cdot \nabla) \mathbf{U} = \mathbf{g} - \frac{\nabla p}{\rho},$$

$$\frac{\nabla p}{\rho} = \frac{\mathbf{V}}{t_s}$$

$$\nabla \cdot \mathbf{U}_g = 0,$$

$$\partial_t \rho_p + \nabla \cdot (\rho \mathbf{U}) = 0.$$

Stationary solution and equations for perturbations

$$\mathbf{U} = 0$$

$$\frac{\nabla p}{\rho} = -g_x \mathbf{e}_x - g_z \mathbf{e}_z$$

$$\mathbf{V} = -t_s(g_x \mathbf{e}_x + g_z \mathbf{e}_z)$$

$$f \equiv \rho_p / \rho_g = \text{const} \ll 1$$

Perturbation variables: $\varpi \equiv -\partial_z u_y$ $\phi \equiv \partial_z u_x$ u_z $\delta \equiv \rho'_p / \rho_p$

$$\partial_t \phi = \partial_{tx}^2 u_z - 2\Omega_0 \varpi + f(g_z \partial_x \delta - g_x \partial_z \delta)$$

$$\partial_t \varpi = \frac{\kappa^2}{2\Omega_0} \phi$$

$$\partial_{tx}^2 \varpi = -\frac{\kappa^2}{2\Omega_0} \partial_{zz}^2 u_z$$

$$\partial_{tz}^2 \delta = t_s(g_x \partial_{xz}^2 \delta + g_z \partial_{zz}^2 \delta) + 2\tau \partial_x \varpi$$

$$\kappa^2 = 2(2 - q)\Omega_0^2$$

Energy of gas-dust perturbations

Consider modes of gas-dust perturbations $\chi_j = \hat{\chi}_j e^{-i\omega t + ik_x x + ik_z z}$

The variational principle for neutral modes of perturbations (Whitham 2011):

$$\delta \int L(\hat{\chi}_j, \partial_{t,x,z}\theta) dx dz dt = 0, \quad \text{where } \theta \equiv -\omega t + k_x x + k_z z,$$

shows that an algebraic equations for $\hat{\chi}_j$ are equivalent to

$$\text{the Euler-Lagrange equations: } \frac{\partial L}{\partial \hat{\chi}_j} = 0.$$

This yields an explicit form of the Lagrangian

$$L = L_0 + f L_1 = 0,$$

$$L_0 = \omega \hat{\omega} \hat{\phi} + \omega k_x \hat{\omega} \hat{u}_z - \Omega_0 \hat{\omega}^2 - \frac{\kappa^2}{2\Omega_0} \frac{\hat{\phi}^2}{2} - \frac{\kappa^2}{2\Omega_0} \frac{k_z^2 \hat{u}_z^2}{2},$$

$$L_1 = g_z \left[1 - \frac{k_z}{k_x} \frac{g_x}{g_z} \right] \left\{ k_x \hat{\omega} \hat{\delta} + \frac{k_z \hat{\delta}^2}{4\Omega_0} \left[\frac{\omega}{t_s} + (g_z k_z + g_x k_x) \right] \right\}.$$

The fundamental symmetry of L with respect to translations in time yields the mode energy:

$$E \equiv \omega \frac{\partial L}{\partial \omega}$$

This yields an explicit form of the energy

$$E = E_0 + f E_1,$$

$$E_0 = 2\Omega_0 \frac{k^2 \omega^2}{\kappa^2 k_z^2} \hat{\omega}^2,$$

$$E_1 = \frac{\omega}{4\tau} \frac{k_z}{k_x} (k_x g_z - k_z g_x) \hat{\delta}^2.$$

As soon as $f > 0$ and $\hat{\delta} > 0$, the mode energy is **NOT** positive definite.

$$D_g(\omega, \mathbf{k}) \cdot D_p(\omega, \mathbf{k}) = \epsilon(\mathbf{k}),$$

Inertial Waves (IW):

$$D_g(\omega, \mathbf{k}) \equiv \omega^2 - \omega_i^2 = 0,$$

Streaming Dust Wave (SDW):

$$D_p(\omega, \mathbf{k}) \equiv \omega - \omega_p = 0,$$

$$\omega_i \equiv \pm \frac{k_z}{k} \kappa,$$

$$\omega_p \equiv -t_s (k_x g_x + k_z g_z),$$

The coupling term: $\epsilon(\mathbf{k}) \equiv ft_s \kappa^2 \frac{k_x}{k} \frac{k_z}{k} (k_x g_z - k_z g_x).$

The mode crossing (resonance condition):

$$\omega_p = \omega_i \equiv \omega_c$$

An estimate of the growth rate (cf. Squire & Hopkins 2018):

$$\text{Im}[\omega] \approx \pm \left(\frac{\epsilon}{\partial_\omega D_g|_{\omega_c} \cdot \partial_\omega D_p|_{\omega_c}} \right)^{1/2} = \pm \left(\frac{\epsilon}{2\omega_c} \right)^{1/2} = O(f^{1/2})$$

The instability arises if and only if energy of SDW is negative

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As $g_z \rightarrow 0$, energy of SDW becomes positive definite.

RDI disappears within TVA!

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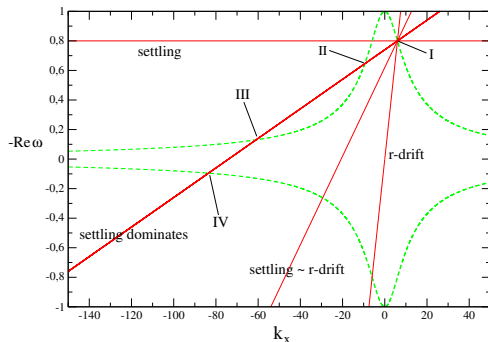
RDI disappears within TVA!

SI of Youding & Goodman (2005) is recovered retaining in $\epsilon(\mathbf{k})$ the higher-order terms over $\tau \ll 1$. That is why $\text{Im}[\omega_{\text{SI}}] = O(f^{1/2}\tau)$.

General picture of the mode crossing

$$f = 0$$

The red curve: SDW
The green curve: IW



Everywhere below: $q = 3/2$, $\tau = 0.1$ and $k_z > 0$.
Units: Ω_0^{-1} , an artificial $L < h$.

The dust settling only (type-I crossing)

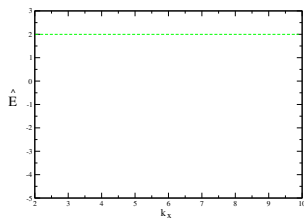
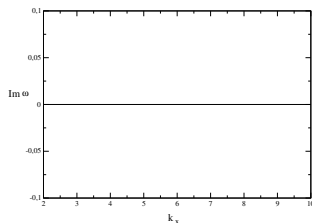
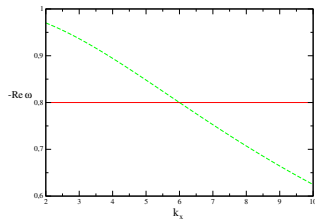
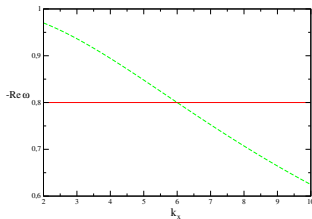
$$f = 0.0$$

$$g_x = 0$$

$$g_z = 1.0$$

$$k_z = 8$$

Mode coupling
getting stronger



The dust settling only (type-I crossing)

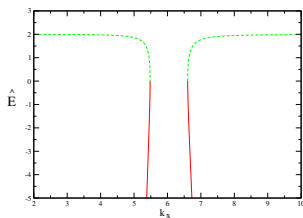
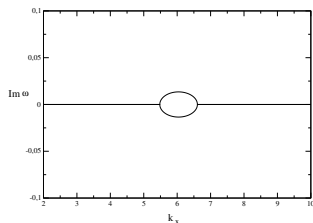
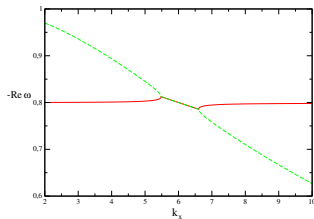
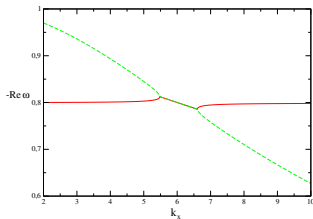
$$f = 0.001$$

$$g_x = 0$$

$$g_z = 1.0$$

$$k_z = 8$$

Mode coupling
getting stronger



The dust settling only (type-I crossing)

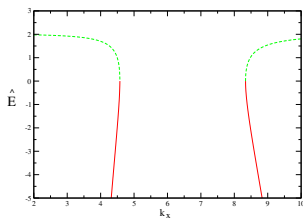
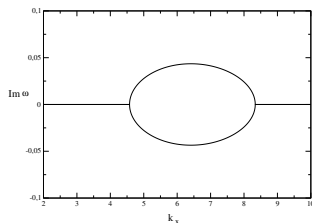
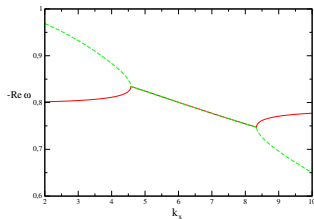
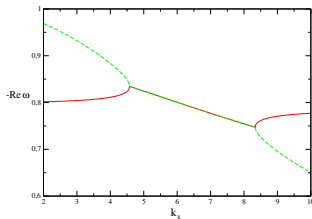
$$f = 0.01$$

$$g_x = 0$$

$$g_z = 1.0$$

$$k_z = 8$$

Mode coupling
getting stronger



Adding the radial drift (type-I crossing)

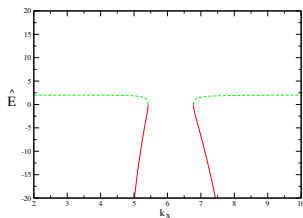
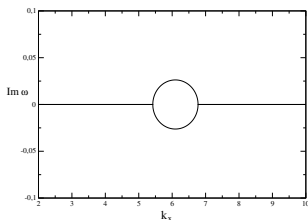
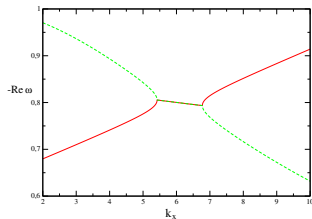
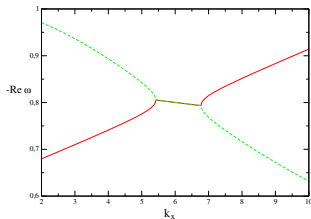
$$f = 0.01$$

$$g_x = 0.3$$

$$g_z = 0.775$$

$$k_z = 8$$

Mode coupling \rightarrow
Avoided crossing



Adding the radial drift (type-I crossing)

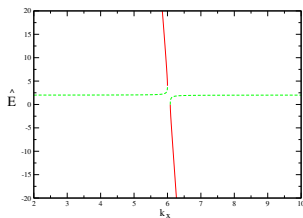
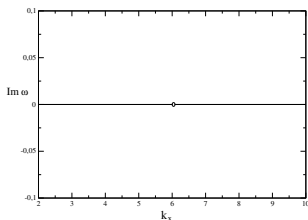
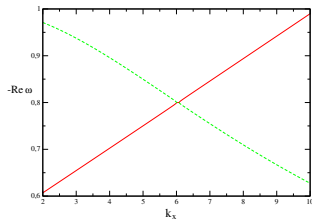
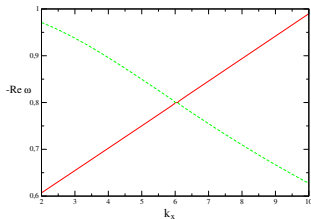
$$f = 0.01$$

$$g_x = 0.48$$

$$g_z = 0.64$$

$$k_z = 8$$

Mode coupling \rightarrow
Avoided crossing



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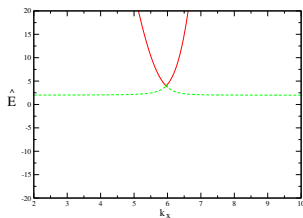
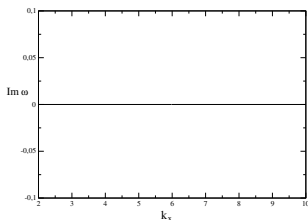
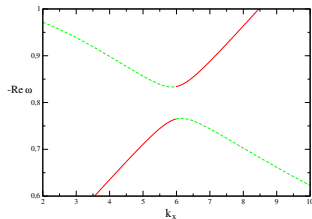
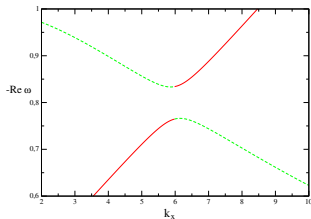
$$f = 0.01$$

$$g_x = 0.8$$

$$g_z = 0.4$$

$$k_z = 8$$

Mode coupling \rightarrow
Avoided crossing



The dispersion equation in TVA

V. Zhuravlev (2020) doi:10.1093/mnras/staa805

$$D_g(\omega', \mathbf{k}) \cdot D_p(\omega', \mathbf{k}) = \epsilon(\mathbf{k}),$$

Inertial Waves (IW):

$$D_g(\omega', \mathbf{k}) \equiv (\omega' + i\omega_*)^2 - \omega_i^2 = 0,$$

Streaming Dust Wave (SDW):

$$D_p(\omega', \mathbf{k}) \equiv \omega' - \omega_p = 0,$$

$$\omega_* \equiv \omega_\nu - \omega_D, \quad \text{where} \quad \omega_{\nu, D} \equiv \{\nu, D\}k^2.$$

$$\underline{\omega \equiv \omega' - i\omega_D.}$$

In the particular case of $Sc \equiv \nu/D = 1$: $\Im[\omega] = -i\omega_\nu + \Delta$,

where Δ is the inviscid estimate of the growth rate.

Settling

The mode crossing
in the long wavelength limit:

$$k_z \ll \tilde{k}_z$$

$$k_x \approx \tilde{k}_z$$

$$\alpha_{max} \approx \left(\frac{f}{2}\right)^{1/2} \left(\frac{z_0}{h}\right)^2 \tau^2$$

Settling + (small) Radial drift

The mode crossing
in the long wavelength limit:

$$k_z \ll k_x \ll \tilde{k}_x$$

$$k_x \approx (k_z \tilde{k}_x)^{1/2}$$

$$\alpha_{max} \approx f^{1/2} \left(\frac{z_0}{h}\right)^{5/4} \tau^{5/4} \left[\frac{\eta}{(k_z z_0) \delta_*}\right]^{3/4}$$

Definitions

$$\tilde{k}_{x,z} \equiv \frac{\kappa}{t_s g_{x,z}} \sim (\tau z_0)^{-1} \quad \text{and} \quad \nu \equiv \alpha \Omega_0 h^2$$

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$$\alpha_{max} \approx f^{1/2} \left(\frac{z_0}{h}\right)^{5/4} \tau^{5/4} \left[\frac{\eta}{(k_z z_0) \delta_*}\right]^{3/4}$$

Turbulence prevents settling:

$$\alpha_{stl} \simeq \tau \left(\frac{z_0}{h}\right)^2$$

Definitions

$$\tilde{k}_{x,z} \equiv \frac{\kappa}{t_s g_{x,z}} \sim (\tau z_0)^{-1} \quad \text{and} \quad \nu \equiv \alpha \Omega_0 h^2$$

Viscous Settling Instability

As $\nu > D$,
SDW and IW
decouple from each other.

SDW saves its growth
at the mode crossing
even as $\nu - D \rightarrow \infty$.

SDW becomes growing
outside the band of DSI

$$\underline{\omega \equiv \omega' - i\omega_D.}$$

$$f = 0.01$$

$$g_x = 0$$

$$g_z = 1.0$$

$$k_z = 8$$

The curves

black: $\nu - D = 0$,

red: $\nu - D = 0.0001$,

green: $\nu - D = 0.001$

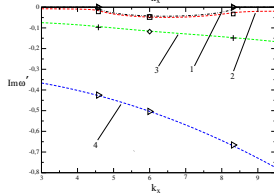
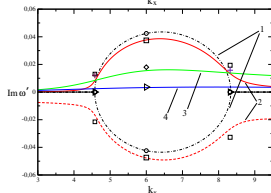
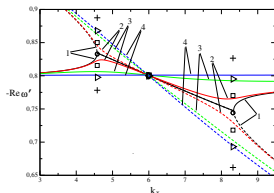
blue: $\nu - D = 0.005$

SDW: solid line

IW: dashed line

The coupled modes:

dot-dashed line



Viscous Settling Instability

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SDW and IW
decouple from each other.

SDW saves its growth
at the mode crossing
even as $\nu - D \rightarrow \infty$.

SDW becomes growing
outside the band of DSI
including the long
wavelengths.

$$\underline{\omega \equiv \omega' - i\omega_D.}$$

$$f = 0.01$$

$$g_x = 0$$

$$g_z = 1.0$$

$$k_z = 8$$

The curves

black: $\nu - D = 0$,

red: $\nu - D = 0.0001$,

green: $\nu - D = 0.001$

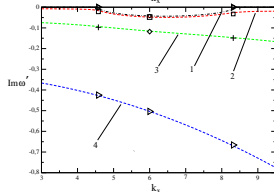
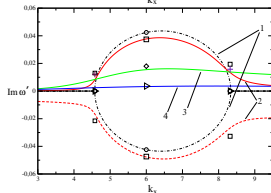
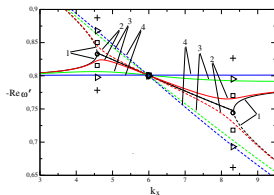
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The coupled modes:

dot-dashed line



Viscous Settling Instability

The long wavelength limit $k \ll k_c = \tilde{k}_z$.

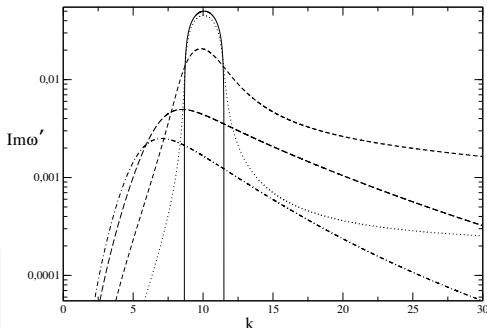
In the case of small viscosity,

$$\hat{\omega}_* = \alpha(1 - Sc^{-1}) \frac{k}{k_z} \frac{k^2}{\tilde{k}_z^2} \left(\frac{z_0}{h} \tau\right)^{-2} \lesssim 1,$$

$$\Im[\omega] \approx \frac{\omega_\nu}{Sc} \left[2f \frac{k_x^2}{k_z^2} \frac{k^2}{\tilde{k}_z^2} (Sc - 1) - 1 \right]$$

$\Im[\omega] > 0$ until

$$\alpha_{max} \approx \frac{Sc}{(Sc - 1)^{1/4}} \frac{f^{3/4} \tau^{3/2}}{(k_z z_0)^{1/2}} \left(\frac{z_0}{h}\right)^2$$



Exact solution of the dispersion equation.

$$f = 0.01, g_x = 0, g_z = 1.0, \theta = 45^\circ.$$

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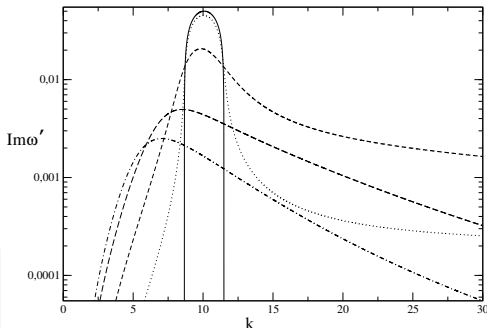
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Compare with the inviscid

$$\alpha_{max} \approx \left(\frac{f}{2}\right)^{1/2} \left(\frac{z_0}{h}\right)^2 \tau^2$$



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- The dust settling in protoplanetary disc gives birth to modes of gas-dust perturbations having negative energy. The basic case is represented by the advection of the dust density perturbations, which is called SDW.
- This allows for the mode coupling between SDW and IW, which leads to DSI on the local scale smaller than the disc scaleheight. To the main order in the small dust fraction, DSI is RDI in TVA. In the absence of the dust settling there is no RDI in TVA.
- SI of Youding & Goodman (2005) arises with the account of terms beyond TVA, i.e. due to inertia of solids.
- DSI in a turbulent disc is suppressed at higher threshold viscosity as compared to SI, especially with an account for combination of the dust settling and the dust radial drift.
- As turbulent viscosity exceeds turbulent diffusion, SDW decouples from IW and becomes growing outside the band of DSI giving birth to VSI on the side of long waves.

Thank you!